

08/23/01

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 204569US0

First Inventor or Application Identifier Juergen SCHUBERT

Title PROCESS FOR COVERING SILICAS WITH WAX

Assignee Name:

Assignee Address:

1059 U.S. PTO  
09/93447

08/23/01

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contentsADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- 1.
- ☒
- Fee Transmittal Form (e.g. PTO/SB/17)
- 
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification Total Sheets 14

- 3.
- ☐
- Formal Drawing(s)
- 
- (35 U.S.C. 113) Total Sheets

- 4.
- ☐
- Oath or Declaration Total Pages
- 
- a.
- ☐
- Newly executed (original or copy)
- 
- b.
- ☐
- Copy from a prior application (37 C.F.R. §1.63(d))
- 
- (for continuation / divisional w/ box 17 completed)
- 
- i.
- ☐
- DELETION OF INVENTOR(S)
- 
- Signed statement attached deleting inventor(s) named in
- 
- the prior application, see 37 C.F.R. §1.63(d)(2) and
- 
- 1.33(b).

- 5.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer
- 
- Program (Appendix)

- 6.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

- a.
- ☐
- Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i.
- ☐
- CD-ROM or CD-R (2 copies); or

- ii.
- ☐
- Paper

- c.
- ☐
- Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 7.
- ☐
- Assignment Papers (cover sheet & document(s))
- 
- 8.
- ☒
- Application Data Sheet. See 37 CFR 1.76
- 
- 9.
- ☐
- 37 C.F.R. §3.73(b) Statement
- ☐
- Power of Attorney
- 
- (when there is an assignee)
- 
- 10.
- ☐
- English Translation Document (if applicable)
- 
- 11.
- ☐
- Information Disclosure
- 
- Statement (IDS)/PTO-1449
- ☐
- Copies of IDS
- 
- Citations
- 
- 12.
- ☐
- Preliminary Amendment
- 
- 13.
- ☒
- White Advance Serial No. Postcard
- 
- 14.
- ☐
- Certified Copy of Priority Document(s)
- 
- (if foreign priority is claimed)
- 
- 15.
- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27
- 
- 16.
- ☒
- Other: REQUEST FOR PRIORITY

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on☐ Which was published in English☐ Which was not published in English☐ This application claims priority of provisional application Serial No.

Filed

## 19. CORRESPONDENCE ADDRESS



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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:		Date:	8/23/01
Name:	John K. Pike, Ph.D.	Registration No.:	41,253

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S): Juergen SCHUBERT et al

SERIAL NO.: NEW APPLICATION

FILING DATE: HERewith

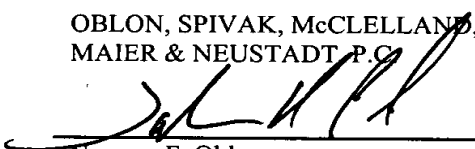
FOR: PROCESS FOR COVERING SILICAS WITH WAX

**FEE TRANSMITTAL**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	21 - 20 =	1	× \$18 =	\$18.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$80 =	\$80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$938.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$938.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$938.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT P.C.
  
 Norman F. Oblon  
 Registration No. 24,618

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Date: 8/27/01**22850**Tel. (703) 413-3000  
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(OSMMN 11/98)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Juergen SCHUBERT et al

SERIAL NO: ~~New Application~~ 09/934471

FILED: ~~Herewith~~ 8-23-01

FOR: PROCESS FOR COVERING SILICAS WITH WAX

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
GERMANY	10041465.6	AUGUST/23/2000
GERMANY	10122861.9	MAY/11/2001

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☒ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, P.C.

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SADL  
# 1/2

3-21-02

1c971 U.S. PTO  
09/934471



08/23/01